

IMPORTANT: Please note that during the Preliminary review electronic copies should be sent via email and hard copies will be sent by post to the address provided by AIX when the potential member will get an approval from AIX Regulation and Compliance.

For Applicant to complete:

#	Document Name	Format	Provided (Y/N)	This column for Applicant's internal follow-up purpose
1.	Trading Member Application with Cover Letter	MS Word & scan		
2.	Reference Letter from the Bank	Scan		
3.	AFSA License / Recognition	Scan or link		
4.	Local Regulator's license (if any)	Scan or link		
5.	Organizational Structure and Extract of Resolution on approval of the organizational structure	Scan		
6.	Passports / IDs on every person mentioned in the Application Form and on Ultimate Beneficial Owners	Certified hard copy/scan		
7.	Certificates of Traders	Scan or link		
8.	Letter on composition of the Board of Directors and Management Board	Scan		
	Extract from Resolution on appointment of the members of Board of Directors and Management Board			
9.	Certificate of Incorporation	Scan		
10.	Articles of Association / Partnership with all amendments as of application date	Scan		
11.	Ownership structure with indication of ultimate beneficiary owner and Extract from Resolution on approval of the ownership structure	Scan		
12.	AML / CFT / Financial Crime Policies and Procedures and Extract from Resolution on their approval	Scan		
13.	2 years Audited Financial Reports	Scan or link		
14.	Business Risk Assessment Report (copy) (отчет по оценке выполнения требований к системам управления рисками)	Scan		
15.	Declaration Forms for PEP	Original		
16.	Declaration regarding association with other recognized stock exchanges / commodity exchanges / clearing corporation and relevant licenses	Original of the Declaration and link/copy of license		
17.	Filled Enforceable Undertaking	Original		

18.	Signature Card of Authorised and Responsible Persons	Legalised original copy		
19.	Trading Member Agreement	Original		