

AIX Registrar Fee Schedule

September 2021

(effective from July 1, 2021)

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General

- *The referenced time and periods of time, timing for billing shall be calculated according to the local time of Nur-Sultan;*
- *Invoices for Issuers are issued on an annual basis;*
- *Invoices for Investors are issued on a quarterly basis;*
- *Invoices for the services provided are issued in USD to non-residents of the Republic of Kazakhstan;*
- *Invoices for the services provided are issued in KZT to residents of the Republic of Kazakhstan. Fee amount is converted to KZT at the current rate of the USD to KZT established by the National Bank of the Republic of Kazakhstan on the day of invoice for payment of services or date of service provision in the act of acceptance, whichever is earlier;*
- *In case AIX Registrar provides services for a relevant period in amount less than 15 USD, the invoices for those services will be issued in the next consecutive period when the amount exceeded 15 USD;*
- *AIX Registrar may pass through to Clients any other third-party costs it may incur;*
- *Amounts due shall be paid within 30 calendar days from the date of invoicing;*
- *Amounts do not include VAT or any other taxes.*

Fees for Issuers

General Services fee

Annual fee	Free for securities included in the official list of Astana International Exchange. 250 USD per ISIN (or other identifier) for other securities.
Due Diligence fee	Free for Issuers whose securities are included in the official list of Astana International Exchange. 25 USD for other Issuers.
Registry Maintenance fee	Free for securities included in the official list of Astana International Exchange up to a maximum of 1,000 securities holders. An additional 5 USD for each increment of up to 50 holders. For other securities the fee is included in the Annual fee up to a maximum of 400 holders. An

	additional 5 USD for each increment of up to 50 holders.
Routine dealings with probate, powers of attorney, changes of address in similar documents and request of appropriate customer Due Diligence	Included in Annual fee up to a maximum of 5 requests. 5 USD per each additional request.
Holding and destroying of records and documents	Included in the Annual Fee.
Providing telephone and email support	Included in the Annual Fee.

Security Interest fee

Securities type:	Fee (per Settled Instruction)
Debentures and any other Securities based on Debentures only or representing debt	5 USD
Shares, ETFs, funds and any other non-debt Securities	7 USD

Reporting Services fee

Securities holders' report	1.5 USD for maximum 200 holders. An additional 0.5 USD for each increment of up to 50 holders.
Non-standard report	2.5 USD

Fees for Investors

General Services fee

Due Diligence fee	10 USD for individuals 25 USD for legal entities
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Settlement Transaction fee

Securities type:	Fee (per Settled Instruction)
Debentures and any other Securities based on Debentures only or representing debt	0.5 USD for individuals 0.8 USD for legal entities
Shares, ETFs, funds and any other non-debt Securities	0.6 USD for individuals 0.9 USD for legal entities

- The fee is charged from each **Investor** taking part in the respective **Settlement Transaction**.

Security Interest fee

Securities type:	Fee (per Settled Instruction)
Debentures and any other Securities based on Debentures only or representing debt	2.5 USD for individuals 5 USD for legal entities
Shares, ETFs, funds and any other non-debt Securities	3.5 USD for individuals 7 USD for legal entities
<ul style="list-style-type: none"> • The fee is charged from each Investor taking part in the respective Security Interest Transaction. 	

Reporting Services fee

Securities movements report	0.5 USD for individuals 1 USD for legal entities
Statement of holdings report	0.5 USD for individuals 1 USD for legal entities
Non-standard report	1.5 USD for individuals 2.5 USD for legal entities

Interests payable by the Client for late Payment (Issue or Investor)

Fees components:	Fees:	Comments:
Failure to make a payment of Client Fees due to AIX Registrar by the due date	Overdue interest is equal to the official refinancing rate of the National Bank of Kazakhstan.	Applies at AIX Registrar's discretion to the overdue sum from the due date until payment of overdue sum. The interest will accrue each day.