

Schedule 1 Form for assignment of ISIN, CFI, and FISN

Applicant's details

| | |
|---|--|
| Applicant name* | |
| Applicant's short name* (no more than 12 symbols) | |
| BIN | |
| LEI (if available) | |
| Full name of Authorised Signatory* | |
| Primary email address of the Authorised Signatory* | |
| Phone of the Authorised Signatory* | |

Financial instrument details (please fill as applicable)

Equities

| | |
|---|---|
| Type of financial instrument:* (please tick as applicable) | <input type="checkbox"/> Common/ordinary shares <input type="checkbox"/> Preference shares <input type="checkbox"/> Common/ordinary convertible shares <input type="checkbox"/> Preference convertible shares <input type="checkbox"/> Limited partnership units <input type="checkbox"/> Depository receipts on equities <input type="checkbox"/> Structured products (participation) <input type="checkbox"/> Others (please specify details): |
| Voting rights*: | <input type="checkbox"/> Yes <input type="checkbox"/> No |

| | |
|---|---|
| | <input type="checkbox"/> Restricted voting <input type="checkbox"/> Enhanced voting |
| Instrument dependency: | <input type="checkbox"/> Common/ordinary shares <input type="checkbox"/> Preference shares <input type="checkbox"/> Common/ordinary convertible shares <input type="checkbox"/> Preference convertible shares <input type="checkbox"/> Other |
| Ownership/transfer/sales restrictions (<i>the ownership or transfer of the financial instrument is subject to special conditions including country-specific restrictions</i>): | <input type="checkbox"/> Restrictions <input type="checkbox"/> Free (unrestricted) |
| Redemption (indicates the retirement provisions made for the shares): | <input type="checkbox"/> Redeemable <input type="checkbox"/> Extendible <input type="checkbox"/> Redeemable/extendible <input type="checkbox"/> Exchangeable <input type="checkbox"/> Redeemable/exchangeable/extendible <input type="checkbox"/> Redeemable/exchangeable <input type="checkbox"/> Perpetual |
| Redemption/conversion of the underlying assets: | <input type="checkbox"/> Redeemable <input type="checkbox"/> Perpetual <input type="checkbox"/> Convertible <input type="checkbox"/> Convertible/redeemable |
| Payment status: | <input type="checkbox"/> Nil paid <input type="checkbox"/> Partly paid <input type="checkbox"/> Fully paid |

| | |
|--|--|
| Income (indicates the kind of dividend income the shareholders are entitled to): | <input type="checkbox"/> Fixed rate income <input type="checkbox"/> Cumulative, fixed rate income <input type="checkbox"/> Participating income <input type="checkbox"/> Cumulative, participating income <input type="checkbox"/> Adjustable/variable income <input type="checkbox"/> Normal rate income <input type="checkbox"/> Auction rate income |
| Form (negotiability, transmission): | <input type="checkbox"/> Bearer <input type="checkbox"/> Registered <input type="checkbox"/> Bearer/ Registered <input type="checkbox"/> Others |

CIS (Collective Investment Scheme)

| | |
|--|---|
| <p>Type of CIS (Collective Investment Scheme):* (please tick as applicable)</p> | <ul style="list-style-type: none"><input type="checkbox"/> Standard investment funds/mutual funds<input type="checkbox"/> Hedge funds<input type="checkbox"/> Real estate investment trust (REITs)<input type="checkbox"/> Exchange traded funds (ETFs)<input type="checkbox"/> Pension funds<input type="checkbox"/> Funds of funds<input type="checkbox"/> Private equity funds<input type="checkbox"/> Other type of CIS (Collective Investment Scheme) (please specify details): |
|--|---|

| | |
|---|--|
| Type of standard investment funds/mutual funds: | <input type="checkbox"/> Closed-ended <input type="checkbox"/> Open-ended <input type="checkbox"/> Others |
| Fund's distribution policy: | <input type="checkbox"/> Income funds <input type="checkbox"/> Accumulation funds <input type="checkbox"/> Mixed funds |
| The underlying assets in which fund invests: | <input type="checkbox"/> Real estate <input type="checkbox"/> Debt instruments <input type="checkbox"/> Equities <input type="checkbox"/> Convertible securities <input type="checkbox"/> Mixed <input type="checkbox"/> Commodities <input type="checkbox"/> Derivatives <input type="checkbox"/> Others |
| Financial instrument type and investor restrictions: | <input type="checkbox"/> Shares (retail and/or professional investors) <input type="checkbox"/> Shares for professional investors only <input type="checkbox"/> Units (retail and/or professional) <input type="checkbox"/> Units for professional investors only |

Debt instruments

| | |
|-----------------------|--|
| Security type: | <input type="checkbox"/> Bonds <input type="checkbox"/> Convertible bonds <input type="checkbox"/> Bonds with warrants attached |
|-----------------------|--|

| | |
|--|--|
| | <input type="checkbox"/> Medium-term notes <input type="checkbox"/> Money market instruments <input type="checkbox"/> Structured products (capital protection) <input type="checkbox"/> Structured products (without capital protection) <input type="checkbox"/> Mortgage-backed securities <input type="checkbox"/> Asset-backed securities <input type="checkbox"/> Municipal bonds <input type="checkbox"/> Depository receipts on debt instruments <input type="checkbox"/> Others |
| Type of interest or cash payment: | <input type="checkbox"/> Fixed rate <input type="checkbox"/> Zero rate/discounted <input type="checkbox"/> Variable <input type="checkbox"/> Cash payment <input type="checkbox"/> Payment in kind (payment of interest using other assets instead of cash) |
| Instrument dependency: | <input type="checkbox"/> Bonds <input type="checkbox"/> Convertible bonds <input type="checkbox"/> Bonds with warrants attached <input type="checkbox"/> Medium-term notes <input type="checkbox"/> Mortgage-backed securities <input type="checkbox"/> Asset-backed securities <input type="checkbox"/> Municipal bonds <input type="checkbox"/> Others |

| | |
|---|--|
| Guarantee or ranking type of security: | <input type="checkbox"/> Government guarantee <input type="checkbox"/> Joint guarantee (the debt instrument is guaranteed by an entity (e.g. corporation) other than the Applicant; not a federal or state government) <input type="checkbox"/> Secured <input type="checkbox"/> Unsecured/unguaranteed <input type="checkbox"/> Senior (applies to senior debts in the event of liquidation) <input type="checkbox"/> Junior (applies to junior debts in the event of liquidation) <input type="checkbox"/> Issued by the national company |
| Redemption/reimbursement: | <input type="checkbox"/> Fixed maturity <input type="checkbox"/> Fixed maturity with call feature <input type="checkbox"/> Fixed maturity with put feature <input type="checkbox"/> Fixed maturity with put and call feature <input type="checkbox"/> Amortization plan <input type="checkbox"/> Amortization plan with call feature <input type="checkbox"/> Amortization plan with put feature <input type="checkbox"/> Amortization plan with put and call feature <input type="checkbox"/> Perpetual |
| Form | <input type="checkbox"/> Bearer <input type="checkbox"/> Registered <input type="checkbox"/> Bearer/registered <input type="checkbox"/> Others |



Note: Fields marked in (*) are mandatory fields

By submitting this Form I confirm that the information provided in this Form and the attachments, if any, is accurate and complete and I assume full responsibility and liability for this information without holding AIX Registrar responsible or liable for the invalidity, inaccuracy and/or incompleteness of such information.

I agree to fully indemnify and hold harmless AIX Registrar, including its directors, employees and agents, against all losses, costs, damages, expenses and liabilities ("Losses") incurred or suffered by AIX Registrar (if any) where such Losses arose out of or in connection with AIX Registrar acting in accordance with the instructions and/or information provided in or with this Form.

I confirm that by submitting this Form the Applicant is in compliance with applicable laws and regulations and there are no legal or regulatory restrictions to obtain security identifier as specified in this Form. I further confirm that I am duly authorized to request the security identifier as detailed in the Form and that the Applicant is not subject to any fine, restriction and/or proceedings for the violation of any law or regulation.

The determination of whether a financial instrument is eligible for a security identifier shall be made by AIX Registrar in its sole discretion and any such determination shall be final. AIX Registrar Ltd. shall have no liability in connection with a determination that financial instrument is or not eligible for a security identifier.

Because of the possibility of human or mechanical error by Applicants, AIX Registrar, third party sources and others, AIX Registrar does not guarantee the accuracy, adequateness or completeness of any financial instrument data, and shall not be liable or responsible for any errors or omissions or for the results obtained from the use of any financial instrument data under this Form.

Authorized Signatory(ies) for Applicant

I hereby request AIX Registrar to issue ISIN, CFI, and FISN for requested financial instrument in this Form. By signing this Form, I acknowledge and agree to the Disclaimer and other terms made on this Form.

Authorised Signatory:

Date: